

PATENT COOPERATION TREATY

D.G

PCT

NOTIFICATION OF ELECTION
(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

United States Patent and Trademark
Office
(Box PCT)
Crystal Plaza 2
Washington, DC 20231
ÉTATS-UNIS D'AMÉRIQUE

in its capacity as elected Office

| | |
|--|--|
| Date of mailing (day/month/year) 28 June 1999 (28.06.99) | To: |
| International application No. PCT/US98/21292 | Applicant's or agent's file reference 5588-61111 |
| International filing date (day/month/year) 09 October 1998 (09.10.98) | Priority date (day/month/year) 10 October 1997 (10.10.97) |
| Applicant RAMEY, Samuel, C. et al | |

1. The designated Office is hereby notified of its election made:

 in the demand filed with the International Preliminary Examining Authority on:

12 April 1999 (12.04.99)

 in a notice effecting later election filed with the International Bureau on:2. The election was was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

| | |
|---|--|
| The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35 | Authorized officer Christelle Croci Telephone No.: (41-22) 338.83.38 |
|---|--|

PATENT COOPERATION TREATY

REC'D 04 NOV 1999

PCT

WIPO

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| | | |
|--|---|---|
| Applicant's or agent's file reference 5588-61111 | FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) | |
| International application No. PCT/US98/21292 | International filing date (day/month/year) 09 OCTOBER 1998 | Priority date (day/month/year) 10 OCTOBER 1997 |
| International Patent Classification (IPC) or national classification and IPC IPC(6): HO1R 13/62, 13/648 and US Cl.: 439/159, 607, 541.5 | | |
| Applicant ROBINSON NUGENT, INC. | | |

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 3 sheets.

This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings amended during international preliminary examination and/or containing rectifications made before this Authority.

These annexes consist of a total of 0 sheets.

3. This report contains indications relating to the following items:

- I Basis of the report
- II Priority
- III Non-establishment of report with regard to novelty, inventive step or industrial applicability
- IV Lack of unity of invention
- V Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI Certain documents cited
- VII Certain defects in the international application
- VIII Certain observations on the international application

| | |
|--|---|
| Date of submission of the demand 12 APRIL 1999 | Date of completion of this report 14 JULY 1999 |
| Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 | Authorized officer STEVEN STEPHAN Telephone No. (703) 308-0956 |
| Facsimile No. (703) 305-3230 |  |

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US98/21292

I. Basis of the report

1. This report has been drawn on the basis of:

 the international application as originally filed. the description, pages 1-9, as originally filed.pages NONE, filed with the demand.pages NONE, filed with the letter of _____.pages , filed with the letter of _____. the claims, pages 1-38, as originally filed.pages NONE, as amended under Article 19.pages NONE, filed with the demand.pages NONE, filed with the letter of _____.pages , filed with the letter of _____. the drawings, sheets/fig 1-10, as originally filed.sheets/fig NONE, filed with the demand.sheets/fig NONE, filed with the letter of _____.sheets/fig , filed with the letter of _____.2. The amendments have resulted in the cancellation of: pages: NONE.sheets of drawings/figures No.: NONE.3. This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box. Additional observations below.

4. Additional observations, if necessary:

NONE

II. Priority

1. This report has been established as if no priority had been claimed due to the failure to furnish within the prescribed time limit requested: copy of the earlier application whose priority has been claimed. translation of the earlier application whose priority has been claimed.2. This report has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid.

Thus for the purpose of this report, the international filing date indicated above is considered to be the relevant date.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US98/21292

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**1. STATEMENT**

| | | |
|-------------------------------|-------------|-----|
| Novelty (N) | Claims 1-36 | YES |
| | Claims NONE | NO |
| Inventive Step (IS) | Claims 1-36 | YES |
| | Claims NONE | NO |
| Industrial Applicability (IA) | Claims 1-36 | YES |
| | Claims NONE | NO |

2. CITATIONS AND EXPLANATIONS

Claims 1-36 meets the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest a header connector apparatus comprising a body having a dovetail member, an actuator button in operation with the dovetail member, and an ejector mechanism configured to eject a card inserted into the body member.

----- NEW CITATIONS -----
NONE

PCT**REQUEST**

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference
(if desired) (12 characters maximum) **5588-61111****Box No. I TITLE OF INVENTION**

MODULE HEADER APPARATUS

Box No. II APPLICANT

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

ROBINSON NUGENT, INC.
800 East Eighth Street
New Albany, IN 47150
United States of America

 This person is also inventor.

Telephone No.

(812) 945-0211

Facsimile No.

(812) 941-3528

Teleprinter No.

State (that is, country) of nationality:

US

State (that is, country) of residence:

US

This person is applicant
for the purposes of: all designated
States all designated States except
the United States of America the United States
of America only the States indicated in
the Supplemental Box**Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)**

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

RAMEY, Samuel C.
1906 Lauderdale Road
Louisville, KY 40205
United States of America

This person is:

 applicant only applicant and inventor inventor only (If this check-box
is marked, do not fill in below.)

State (that is, country) of nationality:

US

State (that is, country) of residence:

US

This person is applicant
for the purposes of: all designated all designated States except the United States
of America only the States indicated in
the Supplemental Box Further applicants and/or (further) inventors are indicated on a continuation sheet.**Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE**The person identified below is hereby/has been appointed to act on behalf
of the applicant(s) before the competent International Authorities as: agent common representative

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

NIEDNAGEL, Timothy E.
BARNES & THORNBURG
11 South Meridian Street
Indianapolis, IN 46204
United States of America

Telephone No.

(317) 236-1313

Facsimile No.

(317) 231-7433

Teleprinter No.

Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the
space above is used instead to indicate a special address to which correspondence should be sent.

Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)

If none of the following sub-boxes is used, this sheet should not be included in the request.

Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

KUSTERS, Johannes Petrus Maria
3226 Slate Creek Court, Apt. 1
New Albany, IN 47150
United States of America

This person is:

applicant only
 applicant and inventor
 inventor only (If this check-box is marked, do not fill in below.)

State (that is, country) of nationality:

NL

State (that is, country) of residence:

US

This person is applicant for the purposes of: all designated States all designated States except the United States of America the United States of America only the States indicated in the Supplemental Box

Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

BRAINARD, John Paul
8417 Ambrosse Lane
Louisville, KY 40299
United States of America

This person is:

applicant only
 applicant and inventor
 inventor only (If this check-box is marked, do not fill in below.)

State (that is, country) of nationality:

US

State (that is, country) of residence:

US

This person is applicant for the purposes of: all designated States all designated States except the United States of America the United States of America only the States indicated in the Supplemental Box

Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

This person is:

applicant only
 applicant and inventor
 inventor only (If this check-box is marked, do not fill in below.)

State (that is, country) of nationality:

State (that is, country) of residence:

This person is applicant for the purposes of: all designated States all designated States except the United States of America the United States of America only the States indicated in the Supplemental Box

Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

This person is:

applicant only
 applicant and inventor
 inventor only (If this check-box is marked, do not fill in below.)

State (that is, country) of nationality:

State (that is, country) of residence:

This person is applicant for the purposes of: all designated States all designated States except the United States of America the United States of America only the States indicated in the Supplemental Box

Further applicants and/or (further) inventors are indicated on another continuation sheet.

Box No.V DESIGNATION OF STATES

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

Regional Patent

AP ARIPO Patent: GH Ghana. GM Gambia. KE Kenya. LS Lesotho. MW Malawi. SD Sudan. SZ Swaziland. UG Uganda. ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT

EA Eurasian Patent: AM Armenia. AZ Azerbaijan. BY Belarus. KG Kyrgyzstan. KZ Kazakhstan. MD Republic of Moldova. RU Russian Federation. TJ Tajikistan. TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT

EP European Patent: AT Austria. BE Belgium. CH and LI Switzerland and Liechtenstein. CY Cyprus. DE Germany. DK Denmark. ES Spain. FI Finland. FR France. GB United Kingdom. GR Greece. IE Ireland. IT Italy. LU Luxembourg. MC Monaco. NL Netherlands. PT Portugal. SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT

OA OAPI Patent: BF Burkina Faso. BJ Benin. CF Central African Republic. CG Congo. CI Côte d'Ivoire. CM Cameroon. GA Gabon. GN Guinea. ML Mali. MR Mauritania. NE Niger. SN Senegal. TD Chad. TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line) **GW Guinea-Bissau**

National Patent (if other kind of protection or treatment desired, specify on dotted line):

AL Albania

AM Armenia

AT Austria

AU Australia

AZ Azerbaijan

BA Bosnia and Herzegovina

BB Barbados

BG Bulgaria

BR Brazil

BY Belarus

CA Canada

CH and LI Switzerland and Liechtenstein

CN China

CU Cuba

CZ Czech Republic

DE Germany

DK Denmark

EE Estonia

ES Spain

FI Finland

GB United Kingdom

GE Georgia

GH Ghana

GM Gambia

HR Croatia

HU Hungary

ID Indonesia

IL Israel

IS Iceland

JP Japan

KE Kenya

KG Kyrgyzstan

KP Democratic People's Republic of Korea

KR Republic of Korea

KZ Kazakhstan

LC Saint Lucia

LK Sri Lanka

LR Liberia

LS Lesotho

LT Lithuania

LU Luxembourg

LV Latvia

MD Republic of Moldova

MG Madagascar

MK The former Yugoslav Republic of Macedonia

MN Mongolia

MW Malawi

MX Mexico

NO Norway

NZ New Zealand

PL Poland

PT Portugal

RO Romania

RU Russian Federation

SD Sudan

SE Sweden

SG Singapore

SI Slovenia

SK Slovakia

SL Sierra Leone

TJ Tajikistan

TM Turkmenistan

TR Turkey

TT Trinidad and Tobago

UA Ukraine

UG Uganda

US United States of America

UZ Uzbekistan

VN Viet Nam

YU Yugoslavia

ZW Zimbabwe

Check-boxes reserved for designating States (for the purposes of a national patent) which have become party to the PCT after issuance of this sheet:

GD Grenada

.....

Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)

| Box No. VI PRIORITY CLAIM | | <input type="checkbox"/> Further priority claims are indicated in the Supplemental Box. | | |
|---|-------------------------------|---|---------------------------------------|---|
| Filing date of earlier application (day/month/year) | Number of earlier application | Where earlier application is: | | |
| | | national application: country | regional application: regional Office | international application: receiving Office |
| item (1) (10.10.97) 10 October 97 | 60/063,476 | US | | |
| item (2) | | | | |
| item (3) | | | | |

The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of the present international application is the receiving Office) identified above as item(s): (1)

* Where the earlier application is an ARIPO application, it is mandatory to indicate in the Supplemental Box at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed (Rule 4.10(b)(ii)). See Supplemental Box.

Box No. VII INTERNATIONAL SEARCHING AUTHORITY

| Choice of International Searching Authority (ISA) (if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen: the two-letter code may be used): | Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority): | Date (day/month/year) | Number | Country (or regional Office) |
|---|--|-----------------------|--------|------------------------------|
| ISA / US | | | | |

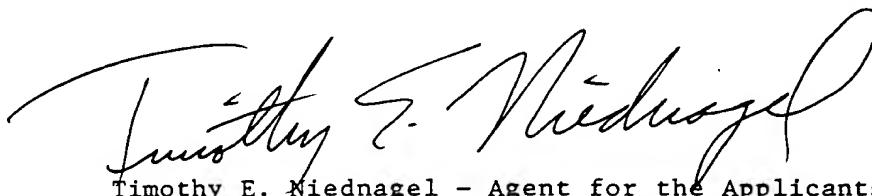
Box No. VIII CHECK LIST: LANGUAGE OF FILING

| | | | | |
|---|--|--|--|--|
| This international application contains the following number of sheets: | This international application is accompanied by the item(s) marked below: | | | |
| request : 4 | 1. <input checked="" type="checkbox"/> fee calculation sheet | | | |
| description (excluding sequence listing part) : 9 | 2. <input type="checkbox"/> separate signed power of attorney | | | |
| claims : 5 | 3. <input type="checkbox"/> copy of general power of attorney: reference number, if any: | | | |
| abstract : 1 | 4. <input type="checkbox"/> statement explaining lack of signature | | | |
| drawings : 10 | 5. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): | | | |
| sequence listing part of description : | 6. <input type="checkbox"/> translation of international application into (language): | | | |
| Total number of sheets : 29 | 7. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material | | | |
| | 8. <input type="checkbox"/> nucleotide and/or amino acid sequence listing in computer readable form | | | |
| | 9. <input checked="" type="checkbox"/> other (specify): Transmittal Letter / Return Postcard | | | |

Figure of the drawings which should accompany the abstract: 1 Language of filing of the international application: ENGLISH

Box No. IX SIGNATURE OF APPLICANT OR AGENT

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).



Timothy E. Niednagel - Agent for the Applicants

For receiving Office use only

| | |
|---|--|
| 1. Date of actual receipt of the purported international application: | 2. Drawings: |
| 3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application: | <input type="checkbox"/> received: <input type="checkbox"/> not received: |
| 4. Date of timely receipt of the required corrections under PCT Article 11(2): | |
| 5. International Searching Authority (if two or more are competent): ISA / | 6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid. |

For International Bureau use only

Date of receipt of the record copy by the International Bureau:

PCT

GENERAL POWER OF ATTORNEY

(for several international applications filed under the Patent Cooperation Treaty)

(PCT Rule 90.5)

The undersigned person(s) :

(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

ROBINSON NUGENT, INC.
800 East Eighth Street
New Albany, Indiana 47150
US

hereby appoint(s) the following person as:

agent

common representative

Name and address

(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

NIEDNAGEL, Timothy E.; COFFEY, William R.; HYLAND, Jerry E.; CONARD, Richard D.; LAMMERT, Steven R.; REZEK, Richard A.; BREEN, John P.; WOODBURN, Jill L.; HARRISON, Nancy J.; CARTER, R. Trevor; KULKARNI, Dilip A.; BARRY, Michael M.; POWLICK, Jill T.; PALAN, Perry; NEWMAN, Mark M.; GILLENWATER, Bobby B.; HUNT, Paul B.; GZYBOWSKI, Michael S.; NULL, Robert D.; All

Appointed Agents of the Address:

BARNES & THORNBURG
11 South Meridian Street
Indianapolis, Indiana 46204
US

to represent the undersigned before

all the competent International Authorities

the International Searching Authority only

the International Preliminary Examining Authority only

in connection with any and all international applications filed by the undersigned with the following Office

US

as receiving Office

and to make or receive payments on behalf of the undersigned.

Signature(s) (where there are several persons, each of them must sign; next to each signature, indicate the name of the person signing and the capacity in which the person signs, if such capacity is not obvious from reading this power):


Larry W. BURKE, President
and Chief Executive Officer

Date: 2 9 898
Day/ Month/ Year

PCT

GENERAL POWER OF ATTORNEY

(for several international applications filed under the Patent Cooperation Treaty)

(PCT Rule 90.5)

The undersigned person(s) :

(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

RAMEY, Samuel C.
1906 Lauderdale Road
Louisville, Kentucky 40205
US

hereby appoint(s) the following person as:

agent

common representative

Name and address

(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

NIEDNAGEL, Timothy E.; COFFEY, William R.; HYLAND, Jerry E.; CONARD, Richard D.; LAMMERT, Steven R.; REZEK, Richard A.; BREEN, John P.; WOODBURN, Jill L.; HARRISON, Nancy J.; CARTER, R. Trevor; KULKARNI, Dilip A.; BARRY, Michael M.; POWLICK, Jill T.; PALAN, Perry; NEWMAN, Mark M.; GILLENWATER, Bobby B.; HUNT, Paul B.; GZYBOWSKI, Michael S.; GALLAGHER, Gerald T.; NULL, Robert D.; All Appointed Agents of the Address:
BARNES & THORNBURG
11 South Meridian Street
Indianapolis, Indiana 46204
US

to represent the undersigned before

all the competent International Authorities

the International Searching Authority only

the International Preliminary Examining Authority only

in connection with any and all international applications filed by the undersigned with the following Office

US

as receiving Office

and to make or receive payments on behalf of the undersigned.

Signature(s) (where there are several persons, each of them must sign; next to each signature, indicate the name of the person signing and the capacity in which the person signs, if such capacity is not obvious from reading this power):


Samuel C. RAMEY

Date: 10.10.98
10 October 1998
Day/ Month/ Year

PCT

GENERAL POWER OF ATTORNEY

(for several international applications filed under the Patent Cooperation Treaty)

(PCT Rule 90.5)

The undersigned person(s) :

(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

KUSTERS, Johannes Petrus Maria
3226 Slate Creek Court, Apt. 1
New Albany, IN 47150
United States of America

hereby appoint(s) the following person as:

agent

common representative

Name and address

(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

NIEDNAGEL, Timothy E.; COFFEY, William R.; HYLAND, Jerry E.; CONARD, Richard D.; LAMMERT, Steven R.; REZEK, Richard A.; BREEN, John P.; WOODBURN, Jill L.; HARRISON, Nancy J.; CARTER, R. Trevor; KULKARNI, Dilip A.; BARRY, Michael M.; POWLICK, Jill T.; PALAN, Perry; NEWMAN, Mark M.; GILLENWATER, Bobby B.; HUNT, Paul B.; GZYBOWSKI, Michael S.; GALLAGHER, Gerald T.; NULL, Robert D.; All Appointed Agents of the Address:
BARNES & THORNBURG
11 South Meridian Street
Indianapolis, Indiana 46204
US

to represent the undersigned before

all the competent International Authorities

the International Searching Authority only

the International Preliminary Examining Authority only

in connection with any and all international applications filed by the undersigned with the following Office

US

as receiving Office

and to make or receive payments on behalf of the undersigned.

Signature(s) (where there are several persons, each of them must sign; next to each signature, indicate the name of the person signing and the capacity in which the person signs, if such capacity is not obvious from reading this power):

Johannes Petrus Maria KUSTERS

(14.12.98)

Date: 14 12 1998
Day/ Month/ Year

PCT

GENERAL POWER OF ATTORNEY (for several international applications filed under the Patent Cooperation Treaty) (PCT Rule 90.5)

The undersigned person(s) :

(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

BRAINARD, John Paul
8417 Ambrosse Lane
Louisville, KY 40299
United States of America

hereby appoint(s) the following person as:

agent

common representative

Name and address

(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

NIEDNAGEL, Timothy E.; COFFEY, William R.; HYLAND, Jerry E.; CONARD, Richard D.; LAMMERT, Steven R.; REZEK, Richard A.; BREEN, John P.; WOODBURN, Jill L.; HARRISON, Nancy J.; CARTER, R. Trevor; KULKARNI, Dilip A.; BARRY, Michael M.; POWLICK, Jill T.; PALAN, Perry; NEWMAN, Mark M.; GILLENWATER, Bobby B.; HUNT, Paul B.; GZYBOWSKI, Michael S.; GALLAGHER, Gerald T.; NULL, Robert D.; All Appointed Agents of the Address:
BARNES & THORNBURG
11 South Meridian Street
Indianapolis, Indiana 46204
US

to represent the undersigned before

all the competent International Authorities

the International Searching Authority only

the International Preliminary Examining Authority only

in connection with any and all international applications filed by the undersigned with the following Office

US

as receiving Office

and to make or receive payments on behalf of the undersigned.

Signature(s) (where there are several persons, each of them must sign; next to each signature, indicate the name of the person signing and the capacity in which the person signs, if such capacity is not obvious from reading this power):


John Paul BRAINARD

(14.12.98)
Date: 12/14/98
Day/ Month/ Year

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

To: TIMOTHY E. NIEDNAGEL
BARNES & THORNBURG
11 SOUTH MERIDIAN STREET
INDIANAPOLIS IN 46204

RECEIVED
MAY 17 1999
BARNES & THORNBURG

FOR *Secret*
Ked
19 May 99
C. D. EY

PCT

NOTIFICATION OF TRANSMITTAL OF
THE INTERNATIONAL SEARCH REPORT
OR THE DECLARATION

(PCT Rule 44.1)

Date of Mailing
(day/month/year)

11 MAY 1999

| | |
|---|--|
| Applicant's or agent's file reference 5588-61111 | FOR FURTHER ACTION See paragraphs 1 and 4 below |
| International application No. PCT/US98/21292 | International filing date (day/month/year) 09 OCTOBER 1998 |
| Applicant ROBINSON NUGENT, INC. | |

1. The applicant is hereby notified that the international search report has been established and is transmitted herewith.

Filing of amendments and statement under Article 19:

The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46):

When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the international search report; however, for more details, see the notes on the accompanying sheet.

Where? Directly to the International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland
Facsimile No.: (41-22) 740.14.35

For more detailed instructions, see the notes on the accompanying sheet.

2. The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3. With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.
 no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. Further action(s): The applicant is reminded of the following:

Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in rules 90 bis 1 and 90 bis 3, respectively, before the completion of the technical preparations for international publication.

Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231
Facsimile No. (703) 305-3230

Authorized officer

STEVEN STEPHAN

Telephone No. (703) 308-2628

(See notes on accompanying sheet)

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

| | | |
|---|---|---|
| Applicant's or agent's file reference 5588-61111 | FOR FURTHER ACTION | see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below. |
| International application No. PCT/US98/21292 | International filing date (day/month/year) 09 OCTOBER 1998 | (Earliest) Priority Date (day/month/year) 10 OCTOBER 1997 |
| Applicant ROBINSON NUGENT, INC. | | |

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 2 sheets.

It is also accompanied by a copy of each prior art document cited in this report.

1. Certain claims were found unsearchable (See Box I).
2. Unity of invention is lacking (See Box II).
3. The international application contains disclosure of a nucleotide and/or amino acid sequence listing and the international search was carried out on the basis of the sequence listing
 - filed with the international application.
 - furnished by the applicant separately from the international application,
 - but not accompanied by a statement to the effect that it did not include matter going beyond the disclosure in the international application as filed.
 - transcribed by this Authority.
4. With regard to the title, the text is approved as submitted by the applicant.
 - the text has been established by this Authority to read as follows:
5. With regard to the abstract,
 - the text is approved as submitted by the applicant.
 - the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.
6. The figure of the drawings to be published with the abstract is:

Figure No. 1

 - as suggested by the applicant.
 - because the applicant failed to suggest a figure.
 - because this figure better characterizes the invention.
 - None of the figures.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/21292

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :HO1R 13/62, 13/648

US CL :439/159, 607, 541.5

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 439/159, 607, 541.5, 64, 160,

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| A,P | US 5,795,190 A(ONO) 18 August 1998 (18.08.98) see the entire document. | 1-38 |
| A,P | US 5,791,920 A (TOMIOKA et al) 11 AUGUST 1998 (11.08.98), see the entire document. | 1-38 |

Further documents are listed in the continuation of Box C.

See patent family annex.

| | | |
|-----|---|--|
| • | Special categories of cited documents: | |
| "A" | document defining the general state of the art which is not considered to be of particular relevance | "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention |
| "B" | earlier document published on or after the international filing date | "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone |
| "L" | document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) | "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art |
| "O" | document referring to an oral disclosure, use, exhibition or other means | "&" document member of the same patent family |
| "P" | document published prior to the international filing date but later than the priority date claimed | |

Date of the actual completion of the international search

03 MAY 1999

Date of mailing of the international search report

11 MAY 1999

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

EUGENE G. BYRD

Telephone No. (703) 308-4792

Express Mail F/N 48624500115
Local
SNOW

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To: TIMOTHY E. NIEDNAGEL
BARNES & THORNBURG
11 SOUTH MERIDIAN STREET
INDIANAPOLIS IN 46204

RECEIVED

NOV 4 1999

BARNES & THORNBURG

PCT

NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

01 NOV 1999

| | | |
|---|---|---|
| Applicant's or agent's file reference 5588-61111 | IMPORTANT NOTIFICATION | |
| International application No. PCT/US98/21292 | International filing date (day/month/year) 09 OCTOBER 1998 | Priority Date (day/month/year) 10 OCTOBER 1997 |
| Applicant ROBINSON NUGENT, INC. | | |

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

| | |
|---|--|
| Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D C 20231 Facsimile No. (703) 305-3230 | Authorized officer STEVEN STEPHAN Telephone No. (703) 308-0956 |
|---|--|

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To: **TIMOTHY E. NIEDNAGEL**
BARNES & THORNBURG
11 SOUTH MERIDIAN STREET
INDIANAPOLIS IN 46204

PCT

NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of Mailing
(day/month/year)

01 NOV 1999

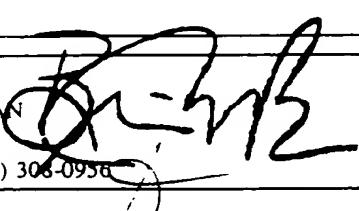
| | | |
|---|---|---|
| Applicant's or agent's file reference 5588-61111 | IMPORTANT NOTIFICATION | |
| International application No. PCT/US98/21292 | International filing date (day/month/year) 09 OCTOBER 1998 | Priority Date (day/month/year) 10 OCTOBER 1997 |
| <p>Applicant ROBINSON NUGENT, INC.</p> | | |

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

| | |
|--|---|
| Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230 | Authorized officer  STEVEN STEPHAN Telephone No. (703) 308-0956 |
|--|---|

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| | | |
|--|--|---|
| Applicant's or agent's file reference 5588-61111 | FOR FURTHER ACTION | See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) |
| International application No. PCT/US98/21292 | International filing date (day/month/year) 09 OCTOBER 1998 | Priority date (day/month/year) 10 OCTOBER 1997 |
| International Patent Classification (IPC) or national classification and IPC IPC(6): HO1R 13/62, 13/648 and US Cl.: 439/159, 607, 541.5 | | |
| Applicant ROBINSON NUGENT, INC. | | |

| |
|---|
| <p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>3</u> sheets.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings amended during international preliminary examination and/or containing rectifications made before this Authority.</p> <p>These annexes consist of a total of <u>0</u> sheets.</p> <p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> I <input checked="" type="checkbox"/> Basis of the report II <input type="checkbox"/> Priority III <input type="checkbox"/> Non-establishment of report with regard to novelty, inventive step or industrial applicability IV <input type="checkbox"/> Lack of unity of invention V <input checked="" type="checkbox"/> Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement VI <input type="checkbox"/> Certain documents cited VII <input type="checkbox"/> Certain defects in the international application VIII <input type="checkbox"/> Certain observations on the international application |
|---|

| | |
|--|---|
| Date of submission of the demand 12 APRIL 1999 | Date of completion of this report 14 JULY 1999 |
| Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230 | Authorized officer STEVEN STEPHAN Telephone No. (703) 308-0956 |

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US98/21292

I. Basis of the report

1. This report has been drawn on the basis of:

 the international application as originally filed. the description, pages 1-9, as originally filed.pages NONE, filed with the demand.pages NONE, filed with the letter of _____.pages , filed with the letter of _____. the claims, pages 1-38, as originally filed.pages NONE, as amended under Article 19.pages NONE, filed with the demand.pages NONE, filed with the letter of _____.pages , filed with the letter of _____. the drawings, sheets/fig 1-10, as originally filed.sheets/fig NONE, filed with the demand.sheets/fig NONE, filed with the letter of _____.sheets/fig , filed with the letter of _____.2. The amendments have resulted in the cancellation of: pages: NONE
sheets of drawings/figures No.: NONE3. This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box. Additional observations below.

4. Additional observations, if necessary:

NONE

II. Priority

1. This report has been established as if no priority had been claimed due to the failure to furnish within the prescribed time limit requested: copy of the earlier application whose priority has been claimed. translation of the earlier application whose priority has been claimed.2. This report has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid.

Thus for the purpose of this report, the international filing date indicated above is considered to be the relevant date.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US98/21292

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**1. STATEMENT**

| | | |
|-------------------------------|--------------------|-----|
| Novelty (N) | Claims <u>1-36</u> | YES |
| | Claims <u>NONE</u> | NO |
| Inventive Step (IS) | Claims <u>1-36</u> | YES |
| | Claims <u>NONE</u> | NO |
| Industrial Applicability (IA) | Claims <u>1-36</u> | YES |
| | Claims <u>NONE</u> | NO |

2. CITATIONS AND EXPLANATIONS

Claims 1-36 meets the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest a header connector apparatus comprising a body having a dovetail member, an actuator button in operation with the dovetail member, and an ejector mechanism configured to eject a card inserted into the body member.

----- NEW CITATIONS -----

NONE